

UNIVERSITY OF MASSACHUSETTS

VOTES

BOARD OF TRUSTEES

SEPTEMBER 19, 2007

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

ACTION ITEM #III: **Resolution for Charles J. Hoff**

DATE: **BoT – September 19, 2007**

DOC. & VOTE I.D. #: **T07-087**

VOTED: **WHEREAS,** Charles (Charlie) J. Hoff, who served as Trustee of the University of Massachusetts from 1993 to 2002 serving as Chairman of the Development Committee, a member of the Committee on Administration and Finance, the Executive Committee and the Ad Hoc Committee on Personnel and Diversity; and

WHEREAS, Charles J. Hoff served as an executive member of the University of Massachusetts Foundation Board of Directors;

WHEREAS, Charles J. Hoff has been a guest lecturer in business at the University of Massachusetts Lowell;

WHEREAS, Charles J. Hoff graduated from the former Lowell Technological Institute (now the University of Massachusetts Lowell);

WHEREAS, Charles J. Hoff is the benefactor of the Charles J. Hoff Scholarship Program at the University of Massachusetts which annually provides scholarship support for up to 145 worthy students enrolled at all five of the University's campuses;

WHEREAS, Charles J. Hoff has announced his intention to continue support of this program through the year 2017 and to establish an endowment fund to provide support to Hoff Scholars in perpetuity.

BE IT RESOLVED, that the Board of Trustees of the University of Massachusetts, in a meeting assembled this nineteenth day of September, 2007 does hereby express its profound and lasting gratitude to Charlie Hoff, and;

BE IT FURTHER RESOLVED, that this Board expresses its sincere admiration for and gratitude to Charlie Hoff and his family for their contributions to the University and to the people of the Commonwealth.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Governance

ACTION ITEM #V,a: Slate of Officers

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-079

VOTED: To confirm and approve the Officers and Committee Chairs of the Board of Trustees set forth in Doc. T07-079, all to serve until the next annual meeting of the Board.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – August 29, 2007

ACTION ITEM #a,1: Appointments to Named Professorships, UMASS Amherst,
UMASS Boston

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-061

VOTED: To concur with the President in the appointment of Dr. George Huber as the Armstrong Professional Development Professor at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Dr. John McCarthy as a Distinguished Professor at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Dr. Edward Z. Tronick as a Distinguished Professor at the University of Massachusetts Boston.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – September 5, 2007

ACTION ITEM #b,1: Approval of FY2008-2012 University Capital Plan

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-076

VOTED: Pursuant to Trustee policy T93-122, to approve the following new capital projects:

<u>Project Name</u>	<u>Proj. Type</u>	<u>Prog. Type</u>	<u>Total Project Cost Est. Aug - 07</u>
<i>Amherst Campus</i>			
Laboratory Science Building	PR	R	\$100,000,000
Academic Classroom Building	PR	TL	\$85,000,000
New Student Center	NC	SL	\$70,000,000
Lederle GRC Repairs and Renovations	BR	R	\$41,250,000
Dubois Library Repairs	DM	TL	\$31,000,000
Retire Deferred Maintenance	DM	BI	\$30,000,000
Morrill IV Renovations	RV	R	\$25,300,000
Goessmann Addition Renovations	RV	R	\$21,000,000
Fine Arts Center Renovations	DM	TL	\$15,200,000
Morrill I Renovations	RV	R	\$15,000,000
Thompson Repairs and Renovations	BR	TL	\$13,900,000
Morrill III Renovations	RV	TL	\$13,000,000
Machmer Repairs	DM	TL	\$12,600,000
Renovate Hamden Dinning Commons	RV	SL	\$12,000,000
Renovate Fernald Hall	RV	TL	\$11,500,000
Morrill II Renovations	RV	R	\$11,000,000
Chenoweth Repairs and Renovations	DM	R	\$10,600,000
Marcus Repairs	DM	R	\$10,100,000
Tobin Repairs	DM	R	\$8,200,000
Marston Repairs	DM	TL	\$7,750,000
Renovate French Hall	RV	TL	\$7,500,000
Hasbrouck Lab Addition Renovations	RV	R	\$7,300,000
Morrill II, III, IV Basic MEP Systems Upgrade	DM	BI	\$6,500,000
Goessmann Lab Renovations	RV	R	\$6,000,000
Isenberg School of Management Repairs	DM	TL	\$6,000,000
Campus Site/Landscape Improvements	DM	BI	\$5,500,000
Morrill III Systems Upgrade	DM	TL	\$5,000,000
Renovate Dickinson Hall	RV	TL	\$5,000,000
Morrill IV HVAC	DM	BI	\$5,000,000

Residential sprinkler installations- Gorman, Cance, Pierpont and Moore	CO	SL	\$4,800,000
Paige Lab Repairs	DM	R	\$4,000,000
Renovate Curry Hicks	RV	SL	\$4,000,000
Herter Repairs	DM	TL	\$3,800,000
Arnold Backfill Renovations	RV	TL	\$3,650,000
OIT Back-up Data Center	IT	BI	\$3,500,000
Utilities, Flint Way, Morrill II, Orchard Hill Quad Steamline	DM	BI	\$3,300,000
Campus Utility - Electrical Distribution Upgrade	DM	BI	\$3,300,000
Stockbridge Renovations for PSIS non lab functions	RV	TL	\$3,200,000
Renovate Morrill Library	RV	TL	\$3,000,000
Parking Trailers Replacement	PR	BI	\$3,000,000
Morrill I Ventilation Upgrades	DM	BI	\$2,581,000
West Experiment Station relocation of occupants/mothball	O	R	\$2,500,000
Faculty Club Structural Repairs	DM	BI	\$2,500,000
Hasbrouck Lab Repairs	DM	TL	\$2,300,000
Campus Utility Upgrades - Water and Sewer	DM	BI	\$2,100,000
South College fire safety improvements and related activities	CO	BI	\$2,000,000
Paige Laboratory BL-3 Lab replacement	BR	R	\$1,800,000
Goodell Fire Suppression System	CO	BI	\$1,725,000
Lederle GRC electrical upgrade	DM	R	\$1,695,000
Campus-wide security system	CO	BI	\$1,600,000
Campus Parking Upgrades	DM	BI	\$1,600,000
CLIP Pedestrian Safety Initiatives	O	BI	\$1,500,000
Morrill IV South Roof	DM	BI	\$1,400,000
Boyden Gym - fire alarm, emergency and exit lighting	CO	BI	\$1,300,000
LGRC Cooling Tower	RV	BI	\$1,250,000
Stockbridge Hall, Electrical, Window and Fire Suppression	DM	TL	\$1,155,000
Thompson Hall - Elevator Replacement	PR	BI	\$1,100,000
Fernald Repairs	DM	TL	\$1,100,000
Relocate Band from University Apartments	O	SL	\$1,000,000
UMA Totals			\$669,956,000

Boston Campus

	<u>Proj. Type</u>	<u>Prog. Type</u>	<u>Total Project Cost Est. Aug - 07</u>
Master Plan Phase I: Construct New Academic Building	NC	TL	\$100,000,000
Master Plan Phase I: Construct New Academic Building	NC	TL	\$100,000,000
Master Plan Phase I: Relocate Campus Utility Systems from Substructure	O	BI	\$25,000,000
Master Plan Phase I: Construct 1,000 Vehicle Parking Garage	NC	BI	\$25,000,000
Master Plan Phase II: Create New Plaza at Ground Level	NC	BI	\$25,000,000
Master Plan Phase II: Construct 1,000 Vehicle Parking	NC	BI	\$25,000,000
Substructure: Upper and Lower Level Access/Egress	DM	BI	\$6,600,000
Relocate Greenhouse to Utility Plant Plaza	NC	R	\$6,000,000
Calf Pasture Pumping Station: Feasibility Study on Future Use (Replaces \$21.2 million preservation item)	BR	TL	\$1,000,000
UMB Totals			\$313,600,000

Dartmouth Campus

Research Extension Phase II (fit out of first floor of recently completed Research Extension building)	NC	R	\$1,500,000
UMD Totals			\$1,500,000

Lowell Campus

	<u>Proj.</u> <u>Type</u>	<u>Prog.</u> <u>Type</u>	<u>Total Project</u> <u>Cost Est. Aug</u> <u>- 07</u>
UML South Academic Building	NC	TL	\$40,000,000
UML South Steam Plant	PR	BI	\$4,500,000
UML Totals			\$44,500,000

Medical School

Worcester Campus

New Science Facility	NC	R	\$235,000,000
South Street Shrewsbury Renovations	NC	R	\$4,000,000
Stem Cell Phase I, RRG	NC	R	\$2,000,000
UMW Totals			\$241,000,000

Total University New Projects			\$1,270,556,000
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And further,

To approve the following revised project cost estimates for previously approved capital projects:

Campus Projects	Initial Total Project Cost Estimate	Revised Total Project Cost Estimate as of August 2007
Amherst		
Lederle GRC Exterior Panel Joint Repairs	\$5,200,000	\$7,500,000
Herter Ventilation & Chiller Upgrade	\$3,500,000	\$4,500,000
Tobin Structural Repair	\$1,000,000	\$1,700,000
GRC/Gierasch Renovation	\$750,000	\$950,000
PVTA Transit Garage	\$4,000,000	\$5,400,000
Boston		
Utility Plan: Roof Replacement	\$2,700,000	\$5,075,000
Seawall Restoration	\$2,300,000	\$3,800,000
Surface Parking Lots	\$3,500,000	\$8,711,000
Campus-wide: Campus Master Plan	\$1,000,000	\$1,355,000
Dartmouth		
Repair/Replace Cedar Dell	\$74,000,000	\$22,000,000
Charlton Phase II	\$2,625,000	\$12,000,000
Power Plant Upgrades/MEP	\$2,397,937	\$6,600,000
Basic Infrastructure	\$33,749,284	\$60,000,000
Lowell		
Building Envelopes	\$4,550,000	\$5,500,000
Dugan Hall Renovations	\$2,060,000	\$4,200,000
Institute of Plastics Innovation	\$1,250,000	\$9,000,000
South Dining Hall	\$950,000	\$2,500,000
Durgin Hall Expansion	\$2,875,000	\$5,375,000
Interior Upgrades	\$4,900,000	\$6,000,000
Compliance	\$4,600,000	\$6,000,000
Worcester		
Complete the CHW and Steam Loop	\$3,000,000	\$10,000,000
Renovate Amphitheaters	\$3,000,000	\$2,000,000

And further,

To approve the FY2008 to FY2012 University Capital Plan as described in Doc. T07-076.

And further,

With respect to any or all of the projects identified in the said University Capital Plan as described in Doc. T07-076, to authorize the President of the University and such other officers of the University as he may designate (the President and each such other officer referred to herein as an "Authorized Officer"), each acting singly, in the name and on behalf of

the trustees, the University or the Commonwealth, as appropriate, (a) to effectuate the financing of one or more or all of such projects by such means as such Authorized Officer shall deem advisable, including without limitation by requesting in writing the University of Massachusetts Building Authority, the Massachusetts Health and Educational Facilities Authority, the Massachusetts Development Finance Agency or any other statutorily qualified issuer in the Commonwealth to issue debt therefor, or to request the Worcester City Campus Corporation to effectuate the financing thereof through any such issuer of debt, so long as the estimated total project cost does not exceed the estimated total project cost listed in Doc. T07-076 by more than 20% (exclusive of capitalized interest, debt service reserve funds, cost of issuance of the obligations, costs of bond insurance or other credit enhancement and other cost of the financing), and, (b) in connection with any such financing, to take such actions (including without limitation the execution of the guaranty of the Commonwealth on any obligation guaranteed by the Commonwealth), secure such governmental approvals, execute such agreements (including without limitation contracts for financial assistance, management and services, contracts for management and services or leases or subleases) containing such provisions and provide for such terms and sources of repayment, all as such Authorized Officer shall deem advisable and as may be required or permitted by law, including without limitation St. 1960, c. 773, §_19A, as amended, and St. 1992, c. 138, §_15A, as inserted by St. 2000, c. 159, §_303.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – September 5, 2007

ACTION ITEM #b,2: Resolution of Authorizing Requests for Initiation by Building Authority of University Projects

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-077

VOTED:

**RESOLUTION OF THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF MASSACHUSETTS AUTHORIZING
INITIATION
BY UNIVERSITY OF MASSACHUSETTS BUILDING
AUTHORITY
OF PROJECTS AND RELATED MATTERS**

WHEREAS, the University of Massachusetts Building Authority is a body politic and corporate and a public instrumentality of The Commonwealth of Massachusetts (the “Commonwealth”) created to aid and contribute to the performance of the education and other purposes of the University of Massachusetts (the “University”) by providing dormitories, dining commons and other buildings and structures for the use of the University, its students, staff and their dependents and for lease to or use by an organization or association, in any form, of students or others the activities of which are a part of the activities at the University and subject to regulation by the Board of Trustees of the University (the “Trustees”);

WHEREAS, pursuant to the Building Authority’s enabling act, the Building Authority may issue bonds to finance projects undertaken by the Building Authority at the request of the Trustees, or, with the approval of the Trustees, issue refunding bonds, all of which bonds are secured, in part, by revenues from the Building Authority, the University and the Commonwealth, as authorized by the Trustees on behalf of the University and the Commonwealth;

WHEREAS, in the judgment of the Trustees it is in the best interests of the University, its students, staff and their dependents and said organizations that the Building Authority undertake projects and finance such projects as described below;

WHEREAS, in the judgment of the Trustees it is in the best interest of the University for the Building Authority to refund bonds when market conditions are favorable; and

WHEREAS, the Building Authority's enabling act authorizes the Trustees, on behalf of the Commonwealth, to provide a Commonwealth guaranty of not to exceed \$200,000,000 outstanding principal amount of Building Authority Bonds;

NOW, THEREFORE,

1. Each of the President and the Vice President for Management and Fiscal Affairs and Treasurer of the University, acting alone, be and each, acting alone, (each, an "Authorized Officer") hereby is authorized in the name and on behalf of the Trustees to make a written request of the Building Authority that the Building Authority initiate and undertake:
 - (a) Any or all of the projects set forth in the University of Massachusetts FY2008 to 2012 University Capital Plan (Trustee Document T07-076); and
 - (b) Any other construction of or respecting or renovation, repair, remodeling or other work in or upon or respecting any building or structure owned or used by the University or the Building Authority, or the provision, installation, renovation, repair or remodeling of or other work upon or respecting any furnishings, furniture, machinery, equipment or facilities with respect to any such building or structure, all as may be requested of the Building Authority in writing from time to time by an Authorized Officer, the making of any such request being hereby authorized.
2. The projects to be initiated by the Building Authority in accordance with any request authorized to be made in Section 1 of this resolution may in the discretion of the Building Authority be undertaken separately or together for financing purposes.
3. The issuance of refunding bonds by the Building Authority is hereby approved, in such amount and on such terms as shall be approved in writing by an Authorized Officer.

4. Each Authorized Officer hereby is authorized to execute and deliver on behalf of the University and the Commonwealth one or more contracts for financial assistance, management and services with respect to the projects financed or refinanced by the Building Authority and the Building Authority bonds issued in connection therewith, including any refunding bonds. Each such contract may include the Commonwealth guaranty of all or a portion of such bonds, as determined by an Authorized Officer and within the limits prescribed by the Building Authority's enabling act.
5. Each Authorized Officer is hereby authorized to take such other action as deemed necessary or desirable to be done or taken to effectuate the matters hereby authorized, as conclusively evidenced by their doing the things or taking the action hereby authorized.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: **Administration and Finance – September 5, 2007**

ACTION ITEM #b,3: **Approval of Parking Violation Fines, UMASS Dartmouth and
UMASS Lowell**

DATE: **BoT – September 19, 2007**

DOC. & VOTE I.D. #: **T07-082**

VOTED: In accordance with Section 1A (b) of Chapter 75 of the General
Laws, to establish and approve the schedule of parking violation
fines for the Dartmouth campus as described below.

Dartmouth

More than 6 ft from curb	\$15
Left wheel to curb	\$15
Angle Parking	\$15
Parking in Crosswalk	\$15
Parking on Sidewalk	\$15
Impeding Traffic	\$15
No permit/Invalid permit	\$15
Bus Stop	\$25
No parking zone	\$25
Restricted parking/spaces	\$15
Loading zone	\$25
Blocking driveway	\$25
Impeding snow removal	\$25
Overnight Parking w/o authorization	\$25
Hydrant/fire lane	\$25
Handicapped	\$50

And further,

In accordance with Section 1A (b) of Chapter 75 of the General Laws, to establish and approve the schedule of parking violation fines for the Lowell campus as described below.

Lowell

Parking violation:	\$15
Snow emergency parking violation:	\$25
Handicapped parking violations:	\$50

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Athletics – June 27, 2007

ACTION ITEM #d,1: Establishment of the Women’s Ice Hockey Task Force,
UMASS Amherst

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-083

VOTED: To establish a Task Force to review the Women’s Ice Hockey Program on the Amherst campus and to report back to the Athletics Committee with their findings.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Athletics – June 27, 2007

ACTION ITEM #d,2: Establishment of the Men’s Football Program Task Force,
UMASS Amherst

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-084

VOTED: To establish a Task Force to review the Men’s Football program on the Amherst campus and to report back to the Athletics Committee with their findings.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: **Audit – September 5, 2007**

ACTION ITEM #e,1-3: **Audit Reports**

DATE: **BoT – September 19, 2007**

DOC. & VOTE I.D. #: **T07-059, T07-060, T07-068**

VOTED: To accept the following Audit reports:

1. Internal Audit Report on Time and Labor Reporting, UMASS Dartmouth (**Doc. T07-059**)
2. Internal Audit Report on Time and Labor Reporting, UMASS Worcester (**Doc. T07-060**)
3. Follow-up Review of Procard Administration (**Doc. T07-068**)

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

OTHER BUSINESS #a: Department of Defense

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-057, as amended

VOTED: WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chairman of the Board, the President, the Secretary, and the designated Facility Security Officer meet the personnel clearance requirements established for a contractor's facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in the minutes.

NOW, THEREFORE, BE IT DECLARED that the Chairman of the Board, the President, the Secretary and the designated Facility Security Officer at the present time do possess, or will be processed for, the required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chairman of the Board, the President, the Secretary or the designated Facility Security Officer, such individual shall immediately make application for the required security clearance; and

BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other officers shall not have, and can be effectively excluded from access to all classified information in the possession of the corporation and do not occupy positions that would enable them to affect adversely policies or practices in the performance of classified contracts for the Department of Defense or the User Agencies of its Industrial Security Program:

<u>NAME</u>	<u>TITLE</u>
Anthony Beatrice	Trustee
Lawrence F. Boyle	Trustee
Jennifer C. Braceras	Trustee
Matthew E. Carlin	Trustee
Edward Collins	Trustee
John A. DiBiaggio	Trustee
Tamara Endich	Trustee
Philip Johnston	Trustee
James J. Karam	Trustee
Ruben J. King-Shaw, Jr.	Trustee
Aleksandar Kulenovic	Trustee
Richard J. Lawton	Trustee
Kenneth A. MacAfee, II	Trustee
Robert J. Manning	Trustee
Bharath Nath	Trustee
William T. O'Shea	Trustee
Kerri Osterhaus	Trustee
Janet D. Pearl	Trustee
Alda Rego Weathers	Trustee
Henry Thomas	Trustee
Ruth Thompson	Trustee

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

OTHER BUSINESS #b: Tuition and Fee Waiver

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-086

VOTED: To waive the tuition and fees for Shauna Cahill and the other children of fallen firefighter Paul Cahill, while attending the University of Massachusetts Dartmouth with the full support of Chancellor Jean MacCormack.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – August 29, 2007

EXEC. SESSION #a: Award of Tenure, UMASS Amherst

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-063

VOTED: To concur with the President in the award of tenure to the following individual in the following Department:

Amherst Andrew McCallum, Computer Science

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – August 29, 2007
EXEC. SESSION #b: Appointments with Tenure, UMASS Amherst, UMASS Boston
DATE: BoT – September 19, 2007
DOC. & VOTE I.D. #: T07-064

VOTED: To concur with the President in the appointment of John Lopes as professor with tenure in the Department of Microbiology at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Julio Navarro as professor with tenure in the Department of Astronomy at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Laura Hayman as professor with tenure in the Department of Nursing at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Edward Z. Tronick as professor with tenure in the Department of Psychology at the University of Massachusetts Boston.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – August 29, 2007

EXEC. SESSION #c: Transfer of Tenure, UMASS Boston

DATE: BoT – September 19, 2007

DOC. & VOTE I.D. #: T07-065

VOTED: To concur with the President in the following transfers of tenure at the University of Massachusetts Boston:

James Green
Kathleen Sands

General Center **TO** History
Study of Religion **TO** Women's
Studies