

**UNIVERSITY OF MASSACHUSETTS**

**VOTES**

**BOARD OF TRUSTEES**

**SEPTEMBER 28, 2011**

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – September 12, 2011

**ACTION ITEM #a,1:** Appointment to the First Holder of the Andrew Glyn Professorship, UMass Amherst

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-060

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**VOTED:** To concur with the President in the appointment of Professor Leonce Ndikumana as the First Holder of the Andrew Glyn Professorship in Economics at the University of Massachusetts Amherst.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – September 12, 2011

**ACTION ITEM #a,2:** Appointments to Named Professorships, UMass Lowell, UMass Worcester

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-064

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**VOTED:** To concur with the President in the appointment of Professor Arno Rafael Minkkinen to the Nancy Donahue Professorship in the Arts at the University of Massachusetts Lowell.

And further, To concur with the President in the appointment of Professor Julia Andrieni to the Joy McCann Professorship for Women in Medicine at the University of Massachusetts Worcester.

And further, To concur with the President in the appointment of Professor Jeremy Luban to the David J. Freeland Memorial Professorship in AIDS Research at the University of Massachusetts Worcester.

And further, To concur with the President in the appointment of Professor Melissa J. Moore to the Eleanor Eustis Farrington Chair in Cancer Research at the University of Massachusetts Worcester.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – September 12, 2011

**ACTION ITEM #a,3:** Revisions to the Graduate Medical Education Personnel Policy,  
UMass Worcester

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T00-024, as amended

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**VOTED:** To approve the revisions to the Graduate Medical Education Personnel Policy at the University of Massachusetts Worcester as contained in Doc. T00-024, as amended.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – September 19, 2011

**ACTION ITEM #b,1:** Approval of the FY2012-2016 Capital Plan

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-073

**VOTED:** Pursuant to Trustee policy T93-122, to approve the following new capital projects:

**Cost Estimate**  
**Aug-11**

**Amherst Campus**

McGuirk Stadium Improvements	\$30,000,000
Physical Sciences Building	\$80,000,000
Integrated Sciences Building fitout	\$2,000,000
Biomass Facility	\$20,000,000
Marks Meadow/Furcolo Renovations	\$17,500,000
Fine Arts Center renovations	\$9,000,000
New Africa House renovations	\$1,700,000
Hills replacement/Land Arch	\$25,800,000
New Faculty Hire Renovations	\$9,000,000
Physical Plant deferred maintenance & renovations	\$7,500,000
Bartlett Replacement Building	\$50,000,000
Hampshire DC renovations	\$15,000,000
Lincoln Campus Center Concourse Improvements	\$11,000,000
Chilled Water Loop	\$3,000,000
Research Affairs relocation	\$5,000,000
Auditorium Renovations	\$7,500,000
New Faculty Hire Renovations	\$12,000,000
Instructional Lab Renovations	\$7,500,000
Chilled Water Loop	\$5,000,000
Goessmann backfill renovations	\$13,000,000
New Laboratory Science Building backfill renovations	\$18,000,000
Campus Infrastructure	\$20,000,000
University Health Services Renovations	\$35,000,000
Housing Renovations and Repairs	\$25,000,000
<i>subtotal Amherst</i>	<b>\$429,500,000</b>

**Boston Campus**

Clark Athletic Center: Replace/Repair East Curtain Wall	\$2,000,000
Healey Library: Roof and Building Envelope Repairs	\$2,000,000
Service and Supply Building: Roof and Building Envelope Repairs	\$1,750,000
Nantucket Field Station: Repairs to Field Station Buildings	\$1,400,000
Campus-wide: Telephone System Upgrades	\$1,300,000
Fox Point Docks: Upgrades and ADA Accessibility	\$1,500,000
Campus Wide: One Card System	\$1,000,000
Quinn Administration Building: Renovations to improve Building Efficiency	\$10,000,000
Relocate Department of Public Safety due to construction of bridge between the ISC and Quinn	\$4,000,000
Master Plan Phase I: Utility Plant Upgrades related to pumps, controls, heat exchangers and Utility Corridor Reconfiguration	\$7,000,000
Master Plan Phase I: Relocate College of Science and Mathematics Machine Shop due to the demolition of the Science Center	\$1,000,000
Master Plan Phase I: Study Replacement of Catwalk/Enclosed Campus Walkway System and Connections to GAB 1	\$1,000,000
Master Plan Phase I: Study new LL/UL Facades at Campus Center, Healey Library, McCormack Hall, Quinn Administration Building and Wheatley Hall and Access to Buildings from Grade	\$2,000,000
Master Plan Phase I: Central Quad Landscaping	\$7,500,000
Master Plan Phase I: Acquisition of Real Estate	\$10,000,000
Master Plan Phase I: Build Out Shell Space in Campus Center UL (currently parking)	\$5,000,000
Master Plan Phase II: General Academic Building III	\$150,000,000
Master Plan Phase I: Construct +/- 1,200 Vehicle Parking Garage East	\$35,000,000
Healey Library: Renovations to create Learning Commons and Improve IT and Study Spaces	\$20,000,000
<b>subtotal Boston</b>	<b>\$263,450,000</b>

**Dartmouth Campus**

Update Campus Master Plan	\$1,500,000
Research Laboratory Improvements	\$11,500,000
<b>subtotal Dartmouth</b>	<b>\$13,000,000</b>

**Lowell Campus**

ETIC - Phase 2 - Floors 3 &4	\$12,200,000
Univ. Crossing Student Life, Student Services & Admin Serv.	\$50,000,000
Univ. Crossing 250 beds	\$31,000,000
Univ. Crossing bookstore & cafe	\$10,400,000
Science & Engineering Master Plan-Engineering Bld. Renewal	\$25,000,000
Science & Engineering Master Plan-Olsen Renovations	\$45,000,000
Leitch & Bourgeois Res Hall Renovations	\$20,000,000
South Campus Master Plan & Initial Space Revisions	\$20,000,000
Science & Engineering Master Plan-North Campus Quad Renew	\$31,300,000
Science & Engineering Master Plan-Olney Renovations	\$55,000,000
<b>subtotal Lowell</b>	<b>\$299,900,000</b>

**Medical School**

South Street Refinance	\$25,000,000
Enhance Chilled Water Loop Pump / Controls	\$3,000,000
Expansion of Childcare Center	\$1,500,000
Install new Low Pressure Boilers	\$5,000,000
HVAC Terminal Box and Exhaust Fan Replacement	\$8,000,000
<i>subtotal Medical School</i>	<b>\$42,500,000</b>
<i>Total all new projects</i>	<b>\$1,048,350,000</b>

And further,

To approve the following revised project cost estimates for previously approved capital projects:

<b>Project Name</b>	<b>Initial TPC</b>	<b>Revised TPC</b>
<b>Lowell Campus</b>		
Property Acquisitions	\$20,000,000	\$10,000,000
College of Management Building	\$45,000,000	\$30,000,000
Capital renewal/deferred maintenance	\$39.3M - \$86M	\$115,000,000

And further,

To approve the FY2012 to FY2016 University Capital Plan as described in Doc. T11-073.

And further,

With respect to any or all of the projects identified in the said University Capital Plan as described in Doc. T11-073, to authorize the President of the University and such other officers of the University as he may designate (the President and each such other officer referred to herein as an "Authorized Officer"), each acting singly, in the name and on behalf of the Trustees, the University or the Commonwealth, as appropriate, (a) to effectuate the financing of one or more or all of such projects by such means as such Authorized Officer shall deem advisable, including without limitation by requesting in writing the University of Massachusetts Building Authority, the Massachusetts Health and Educational Facilities Authority, the Massachusetts Development Finance Agency or any other statutorily qualified issuer in the Commonwealth to issue debt therefor, or to request the Worcester City Campus Corporation to effectuate the financing thereof through any such issuer of debt, so long as the estimated total project cost does not exceed the estimated total project cost listed in Doc. T10-055 by more than 20% (exclusive of capitalized interest, debt service reserve funds, cost of issuance of the obligations, costs of bond

insurance or other credit enhancement and other cost of the financing), and, (b) in connection with any such financing, to take such actions (including without limitation the execution of the guaranty of the Commonwealth on any obligation guaranteed by the Commonwealth), secure such governmental approvals, execute such agreements (including without limitation contracts for financial assistance, management and services, contracts for management and services or leases or subleases) containing such provisions and provide for such terms and sources of repayment, all as such Authorized Officer shall deem advisable and as may be required or permitted by law, including without limitation St. 1960, c. 773, §\_19A, as amended, and St. 1992, c. 138, §\_15A, as inserted by St. 2000, c. 159, §\_303.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – September 19, 2011

**ACTION ITEM #b,2:** Resolution Authorizing Requests for Initiation by University of Massachusetts Building Authority of University Projects and Related Matters

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-074

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**VOTED:** WHEREAS, the University of Massachusetts Building Authority is a body politic and corporate and a public instrumentality of The Commonwealth of Massachusetts (the “Commonwealth”) created to aid and contribute to the performance of the education and other purposes of the University of Massachusetts (the “University”) by providing dormitories, dining commons and other buildings and structures for the use of the University, its students, staff and their dependents and for lease to or use by an organization or association, in any form, of students or others the activities of which are a part of the activities at the University and subject to regulation by the Board of Trustees of the University (the “Trustees”);

WHEREAS, pursuant to the Building Authority’s enabling act, the Building Authority may issue bonds to finance projects undertaken by the Building Authority at the request of the Trustees, or, with the approval of the Trustees, issue refunding bonds, all of which bonds are secured, in part, by revenues from the Building Authority, the University and the Commonwealth, as authorized by the Trustees on behalf of the University and the Commonwealth;

WHEREAS, in the judgment of the Trustees it is in the best interests of the University, its students, staff and their dependents and said organizations that the Building Authority undertake projects and finance such projects as described below;

WHEREAS, in the judgment of the Trustees it is in the best interest of the University for the Building Authority to refund bonds when market conditions are favorable; and

WHEREAS, the Building Authority's enabling act authorizes the Trustees, on behalf of the Commonwealth, to provide a Commonwealth guaranty of not to exceed \$200,000,000 outstanding principal amount of Building Authority Bonds;

NOW, THEREFORE,

1. Each of the President and the Senior Vice President for Administration, Finance and Technology and Treasurer of the University, acting alone, be and each, acting alone, (each, an "Authorized Officer") hereby is authorized in the name and on behalf of the Trustees to make a written request of the Building Authority that the Building Authority initiate and undertake:
  - (a) Any or all of the projects set forth in the University of Massachusetts FY2012 to 2016 University Capital Plan (Trustee Document T11-073); and
  - (b) Any other construction of or respecting or renovation, repair, remodeling or other work in or upon or respecting any building or structure owned or used by the University or the Building Authority, or the provision, installation, renovation, repair or remodeling of or other work upon or respecting any furnishings, furniture, machinery, equipment or facilities with respect to any such building or structure, all as may be requested of the Building Authority in writing from time to time by an Authorized Officer, the making of any such request being hereby authorized.
2. The projects to be initiated by the Building Authority in accordance with any request authorized to be made in Section 1 of this resolution may in the discretion of the Building Authority be undertaken separately or together for financing purposes.
3. The issuance of refunding bonds by the Building Authority is hereby approved, in such amount and on such terms as shall be approved in writing by an Authorized Officer.
4. Each Authorized Officer hereby is authorized to execute and deliver on behalf of the University and the Commonwealth one or more contracts for financial assistance, management and services with respect to the projects financed or refinanced by the Building Authority and the Building Authority bonds issued in connection therewith, including any refunding bonds. Each such contract may include the Commonwealth guaranty of all or a portion of such bonds, as determined by an Authorized Officer and within the limits prescribed by the Building Authority's enabling act.

Each Authorized Officer is hereby authorized to take such other action as deemed necessary or desirable to be done or taken to effectuate the matters hereby authorized, as conclusively evidenced by their doing the things or taking the action hereby authorized.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – September 19, 2011

**ACTION ITEM #b,3:** Resolution Authorizing the Refinancing of Existing Debt to Capitalize on Current Low Interest Rate Environment

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-081

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**VOTED:** With respect to all or a part of the following bond issuances (collectively, the “Bond Issuances”):

University of Massachusetts:

Massachusetts Health and Educational Facilities Authority Revenue Bonds, University of Massachusetts Issue, Series C, dated June 1, 2002, issued for the University of Massachusetts, for the purpose of financing construction of a campus center located on the Boston campus and related costs.

Worcester City Campus Corporation:

Massachusetts Health and Educational Facilities Authority Revenue Bonds, Worcester City Campus Corporation Issue (University of Massachusetts Project), Series B, dated June 1, 2001, issued for the Worcester City Campus Corporation as part of the Massachusetts Health and Educational Facilities Authority Revenue Bonds, University of Massachusetts Issues, for the purpose of Worcester City Campus Corporation’s acquisition of Two Biotech Park in Worcester, the acquisition, renovation and equipment of which was originally financed by a bond issuance in 1992 to The University of Massachusetts Foundation, Inc., and the construction of a parking garage at the Worcester campus of the University and the acquisition of capital equipment for a new research building on the Worcester campus of the University.

To authorize each of the President, the Senior Vice President for Administration, Finance and Technology and Treasurer (each an “Authorized Officer”), each acting singly, in the name of and on behalf of the Trustees or the University, as appropriate, (a) to effectuate the financing and refinancing, including refunding, of all or any portion of one or both of the Bond Issuances, by such means

as any such Authorized Officer shall deem advisable, including, without limitation, by requesting in writing the Massachusetts Development Finance Agency or any other statutorily qualified issuer in the Commonwealth to issue debt therefore and related costs, and/or by utilizing such funds and revenues of the University as any such Authorized Officer shall deem appropriate and as may be permitted by law, including, without limitation, St. 1960, chapter 773, section 19A, as amended, and/or to request the Worcester City Campus Corporation to effectuate such financing and refinancing, including refunding, with respect to all or any portion of one or both of the Bond Issuances issued for the benefit of Worcester City Campus Corporation through any such issuer of debt, which foregoing actions may include the entering into arrangements in conjunction with the Worcester City Campus Corporation for any such financing or refinancing issued through one or more qualified issuers in the Commonwealth; and (b) in connection with any such financing and refinancing, including refunding, to take such actions, secure such governmental approvals, execute such agreements (including, without limitation, contracts for financial assistance, management and services, or leases or subleases) containing such provisions and provide for such terms and sources of repayment, all as any such Authorized Officer shall deem advisable and as may be required or permitted by law, including, without limitation, St. 1960, chapter 773, section 19A, as amended, and St. 1992, chapter 138, section 15A, as inserted by St. 2000, chapter 159, section 303.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – September 19, 2011

**ACTION ITEM #b,4:** Establishment of the Andrew Glyn Professorship in Economics, UMass Amherst

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-075

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**VOTED:** In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish an endowed professorship to be known as the Andrew Glyn Professor of Economics in the College of Social and Behavioral Sciences at UMass Amherst.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – September 19, 2011

**ACTION ITEM #b,5:** Naming of the College of Management: the Robert J. Manning School of Business, UMass Lowell

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-076

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**VOTED:** To name the College of Management at the University of Massachusetts Lowell, the Robert J. Manning School of Business, and to accept with sincere gratitude the generous gift of Robert J. Manning.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – September 19, 2011

**ACTION ITEM #b,6:** Dissolution of the Group Practice Plan, UMass Worcester

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-077

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**VOTED:** WHEREAS, the clinical division of the University of Massachusetts Medical School has been combined into the entity now known as UMass Memorial Health Care, Inc., pursuant to the provisions of Chapter 163 of the Acts of 1997; and

WHEREAS, the ten-year period of protection of the pension rights of certain clinical division employees who were members of the University Group Practice Plan has expired; and

WHEREAS, the execution of the provisions of said Chapter 167 of the Acts of 1997 has rendered the continuing operation and existence of the University Group Practice Plan unnecessary;

NOW THEREFORE BE IT RESOLVED that the Group Practice Plan established pursuant to the provisions of Chapter 773 of the Acts of 1974 be and it hereby is dissolved, and that all Rules and Regulations for the governance of said Plan be and they hereby are repealed. The Chancellor of the University of Massachusetts Worcester is directed to make appropriate provisions for the employment terms and benefits of affected employees under the authority of sections 14 and 35 of Chapter 75 of the General Laws and any other applicable.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Advancement – September 12, 2011

**ACTION ITEM #d,1:** Adoption of the Policy for Trustee Report on Fundraising Results

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-069

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**VOTED:** To adopt the Policy for Trustee Report on Fundraising Results contained in Doc. T11-069.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Governance – September 19, 2011

**ACTION ITEM #f,1:** Approval of the Slate of Officers, 2011-2012

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-079

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**VOTED:** To confirm and approve the Officers and Committee Chairs of the Board of Trustees set forth in Doc. T10-079, all to serve until the next annual meeting of the Board.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**OTHER BUSI. #1:** Department of Defense – Exclusion from Personnel Clearance Requirements

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-080

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**VOTED:** WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chairman of the Board, the President, the Secretary, and the designated Facility Security Officer meet the personnel clearance requirements established for a contractor's facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in the minutes.

NOW, THEREFORE, BE IT DECLARED that the Chairman of the Board, the President, the Secretary and the designated Facility Security Officer at the present time do possess, or will be processed for, the required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chairman of the Board, the President, the Secretary or the designated Facility Security Officer, such individual shall immediately make application for the required security clearance; and

BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other officers shall not have, and can be effectively excluded from access to all classified information in the possession of the corporation and do not occupy positions that would enable them to affect adversely policies or practices in the performance of classified contracts for the Department of Defense or the User Agencies of its Industrial Security Program:

<b><u>NAME</u></b>	<b><u>TITLE</u></b>
Bianca L. Baldassarre	Trustee
Richard P. Campbell	Trustee
Lawrence M. Carpman	Trustee
Edward W. Collins, Jr.	Trustee
John A. DiBiaggio	Trustee
Maria D. Furman	Trustee
Zoila M. Gomez	Trustee
Philip W. Johnston	Trustee
Christina Kennedy	Trustee
Ruben J. King-Shaw, Jr.	Trustee
Alyce J. Lee	Trustee
Jeffrey B. Mullan	Trustee
Kerri Osterhaus-Houle	Trustee
R. Norman Peters	Trustee
Paul Reville	Trustee
Peter T. Schock	Trustee
James A. Tarr	Trustee
Henry M. Thomas, III	Trustee
Cara Weismann	Trustee
Victor Woolridge	Trustee
Margaret D. Xifaras	Trustee

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – September 12, 2011

**EXE. SESSION #1:** Appointments with Tenure, UMass Amherst, UMass Boston, UMass Worcester

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-062

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**VOTED:** To concur with the President in the appointment of Leonce Ndikumana as professor with tenure in the Department of Economics at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Christine Brenner as associate professor with tenure in the Department of Public Policy and Public Affairs at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Sharon Horne as associate professor with tenure in the Department of Counseling and School Psychology at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Christopher Zurn as associate professor with tenure in the Department of Philosophy at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Jeremy Luban as professor with tenure in the Department of Molecular Medicine at the University of Massachusetts Worcester.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – September 12, 2011

**EXE. SESSION #2:** Awards of Tenure, UMass Amherst, UMass Worcester

**DATE:** BoT – September 28, 2011

**DOC. & VOTE I.D. #:** T11-063

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**VOTED:** To concur with the President in the award of tenure to the following individuals in the following Departments:

**Amherst:** David Boutt, Geosciences  
Ryan Hayward, Polymer Science and Engineering

**Worcester:** Beth McCormick, Microbiology and Physiological Systems